# Climate Sensitivity and Carbon Footprint

François Gervais<sup>AB</sup>

Faculty of Sciences and Techniques, University of Tours, France

Dedicated to the memory of Professor Nils-Axel Mörner<sup>C</sup>

francois.gervais@univ-tours.fr

#### Abstract

A simple formula is suggested to policy makers to evaluate the impact on Earth's temperature of fossil fuel emissions or reductions. It is illustrated for main emitters, country by country. Two lists of estimates are compared.

One is based on the last report of the Intergovernmental Panel on Climate Change (IPCC AR5 2013) which retained a range of 1-2.5 °C for the Transient Climate Response (TCR) in case of atmospheric  $CO_2$  doubling, a metric that is more relevant than the Equilibrium Climate Sensitivity (ECS) to estimate warming in the next few decades. At the rate of increase of 0.5 % per year since the beginning of this century, a  $CO_2$  doubling in the atmosphere will hardly be reached before the end of the century.

The second estimate is based on infrared thermal emission spectra of atmospheric CO<sub>2</sub> near the tropopause that constrain the climate sensitivity below 1°C in the absence of feedbacks consistent with 109 studies concluding to low climate sensitivity. An increasing number of their publications is reported during both last decades. They are also confirmed by a plateau observed since 1994 for the temperature of the low stratosphere measured by the Earth System Science Center, University of Alabama in Huntsville (UAH), over a period corresponding to 42 % of the increase of CO<sub>2</sub> in the atmosphere since the beginning of the industrial era.

A tendency of "cooling" of climate sensitivity versus year of publication is confirmed for studies based on instrumental records of ocean and surface temperature, whereas CMIP6 climate models are running hotter. The correlation of (i) monthly temperature fluctuations measured by UAH at the Earth's surface and (ii) CO<sub>2</sub> increases in the atmosphere that lag temperature fluctuations instead of driving them, is updated and discussed.

Keywords: TCR, ECS, infrared, fossil fuel emissions, carbon footprint

## Introduction

In 2020, the concentration of carbon dioxide in the atmosphere measured by NOAA at the observatory of Mauna Loa, detrended from seasonal oscillations, reached 414 parts per million (ppm). 1 ppm corresponds to 7.8 Gigatons of  $CO_2$  (GtCO<sub>2</sub>). The atmosphere, therefore, was composed in 2020 of 3.2  $10^{12}$  tons of  $CO_2$ . The transient climate response (TCR) is defined as the increase of average Earth's temperature when the atmospheric  $CO_2$  concentration would double. At the average rate of increase of 2.2 ppm per year observed since two decades as is detailed in Figure 6 of Section 4, viz. 2.2/414 = 0.5 %/year, doubling will hardly be achieved during this century.

A Submitted 2021-03-25. Accepted 2021-04-17. Reviewed by J.-E. Solheim. https://doi.org/10.53234/scc202106/23 B The author acted as expert reviewer of IPCC AR5 and AR6. c The work is dedicated to the memory of Professor Nils-Axel Mörner who encouraged the author to publish this study as proceedings of the Conference "Basic Science of a Changing Climate", University of Porto (2018) – www.portoconference2018.org

\_\_\_\_\_ – that Professor Mörner co-organized. The author was fascinated by the energy of Professor Mörner and his outstanding knowledge of oceans and sea level rise.

Section 1 complements the Summary for policymakers of IPCC AR5 (2013) by evaluating a key point that is missing, viz. the impact of the emission (or of reduction of emission) of one ton of CO<sub>2</sub> on the Earth's temperature, a metric that is more relevant than the carbon footprint in terms of climate. Results for largest emitter countries will illustrate their own climatic impact at their rate of emissions during 2019.

Section 2 is a review of published values of climate sensitivity lower than 1 °C that have not been considered in IPCC AR5 (2013) which retained for the TCR the interval from 1°C to 2.5 °C only.

In Section 3, the infrared thermal emission spectrum of atmospheric CO<sub>2</sub> near the tropopause – not shown in IPCC AR5 (2013) – is scrutinized. A TCR lower than 1 °C is deduced, confirming data of Section 2. Results of Section 1 are complemented with this value for comparison.

Section 4 updates the correlation of Earth's temperature measured by satellites and the yearly increase of CO<sub>2</sub>, discuss them and focus on specific points.

## 1 Impact of one ton of CO<sub>2</sub> on Earth's temperature and contribution country by country

The Transient climate response (TCR) to CO<sub>2</sub> doubling is more relevant than Equilibrium climate sensitivity (ECS) to warming in the next few decades because to reach equilibrium would need several centuries while the present work focuses on next decades with the target of net zero emissions by 2050 announced by policy makers. Nijsse *et al.* 2020 report that the Coupled Model Intercomparison Project Phase 6 (CMIP6) models, the results of which are expected to be included in the IPCC Assessment Report AR6, constrain the likely range of TCR to 1.3–2.1°C, with a central estimate of 1.68 °C. This is near the medium value 1.75 °C of the TCR interval of 1 °C–2.5 °C of IPCC AR5 (2013).

In a first estimate, by considering

- an additional temperature of the Earth of 1.68 °C that would tentatively be reached if doubling, i.e. 3.2 10<sup>12</sup> tCO<sub>2</sub> would be added to the 3.2 10<sup>12</sup> tCO<sub>2</sub> already present in 2020 in the atmosphere,
- an airborne fraction of 44 % provided by IPCC AR5 (2013), the fraction of the  $CO_2$  emissions that remains in the atmosphere at least several years (the number of years is still controversial and discussed in Section 4), a fraction found nearly constant for several decades, implying that to double atmospheric  $CO_2$ , the human activities should emit 3.2  $10^{12}$  t $CO_2/44$  % = 7.3  $10^{12}$  t $CO_2$ ,

then emitting one ton of CO<sub>2</sub> would warm the Earth by

$$(1/7.3 \ 10^{12} \ tCO_2) \ x \ 1.68 \ ^{\circ}C = 2.3 \ 10^{-13} \ ^{\circ}C/tCO_2$$
 (1)

Thus, evaluated with the data of IPCC AR5 and CMIP6 models, the yearly emissions of 36 GtCO<sub>2</sub> warms the Earth by 0.008 °C. Although simple and useful, this fundamental evaluation is missing in IPCC AR5 (2013). Another fraction of emissions,  $\sim 1/3$ , enriches the vegetal biomass and nutritive plants by photosynthesis. The third smaller fraction is captured by the oceans (Section 4).

By replacing the molar weight of  $CO_2$ ,  $12 + 2 \times 16 = 44$ , by that of carbon, 12, Eq. (1) provides

$$(1/7.3 \ 10^{12} \ \text{tCO}_2) \ \text{x} \ 1.68^{\circ} \text{C} \ \text{x} \ 44/12 = 8.4 \ 10^{-13} \, \text{°C/tC}$$
 (2)

Equation (2) is the equivalent of Eq. (1) in terms of carbon footprint. It can be approximated as almost  $\sim 1$  picodegree C/tC. To keep them as simple as possible, Equations (1) and (2) imply a linear interpolation. Section 3 considers the more relevant logarithmic law.

To illustrate the impact of Eq. (1), Table 1 lists the countries the largest emitters of CO<sub>2</sub> in 2019, as reported by *www.globalcarbonatlas.org*. The yearly impact of their emissions is evaluated with the central estimate of TCR of 1.68 °C of CMIP6 climate models.

Table 1. List of countries the most CO<sub>2</sub> emitters in 2019, as reported by www.globalcarbonatlas.org. The emissions per inhabitant per year listed in column 5 and compared with the world 5 tons average, changes the ranking of countries. Column 6 provides the contribution to the Earth warming per year calculated with Eq. (1) and a TCR of 1.68 °C (central estimate of CMIP6, Nijsse et al 2020). By considering that the accuracy generally admitted for the Earth's average temperature is 0.07°C, column 7 indicates how many years such warmings by each country will remain below the threshold of measurability. Values in excess of a century are omitted because they are beyond the limits of the method. Columns 8 and 9 indicate the results with a TCR of 0.78 °C deduced from the CO<sub>2</sub> infrared thermal emission spectrum as discussed in Section 3 and calculated by Eq. (7). Although a medium emitter with a tCO<sub>2</sub>/inh/yr equal to the average for the world, France is added by reference to the COP21 Paris agreement.

Country	MtCO <sub>2</sub>	% of	Popul. million	tCO <sub>2</sub> /inh	°C/yr	Years	°C/yr	Warming
	/yr	emiss.		/yr	(TCR	below	(TCR	until 2050
					CMIP6	+0.06°C	0.78°C)	(TCR
					1.68°C)	(TCR		0.78°C)
						1.68°C)		
China	10175	28	1434	7	0.0023°C	30	0.0011°C	0.030°C
USA	5285	15	329	16	0.0012°C	58	0.0006°C	0.016°C
India	2616	7	1366	2	0.0006°C	> 100	0.0003°C	0.008°C
Russia	1678	5	146	11	0.0004°C	> 100	0.0002°C	0.005°C
Japan	1107	3	127	9	0.00025°C	> 100	0.0001°C	0.003°C
Iran	780	2	83	9	0.0002°C	> 100	0.0001°C	0.002°C
Germany	702	1.9	83	8	0.0002°C	> 100	0.0001°C	0.002°C
Indonesia	618	1.7	271	2	0.00014°C	> 100	0.00007°C	0.002°C
South Corea	611	1.7	51	12	0.00014°C	> 100	0.00007°C	0.002°C
France	324	0.9	65	5	0.00007°C	> 100	0.00003°C	0.001°C
World	36441		7594	5	·		·	

Lovejoy (2017) reports that the uncertainty on series of Earth's temperature is about 0.1°C. berkeleyearth.org rather considers an uncertainty of 0.045 °C. We therefore adopt an intermediate threshold of measurability of the Earth's average temperature of 0.07 °C. Column 7 of Table 1 indicates how many years the warmings with "business as usual" for each country according to the 2019 data of column 2 will remain below the threshold of measurability.

As seen in Table 1, the policy of any country, either "business as usual" or reduction of emissions, cannot significantly change the Earth's temperature since it remains below the threshold of measurability, at least on the term of several decades for two of them and above a century for the others. Column 9 focuses on the year target of "net zero" policies considering reaching zero fossil fuels emission by 2050. The values have to be multiplied by about 2 with a TCR of 1.78 °C. Again results are below the threshold of measurability of the Earth's temperature.

# 2 A brief review of studies concluding to low climate sensitivity

Table 2 lists 109 studies that conclude to climate sensitivity either low or negligible, below or equal to 1°C. They are listed per year of publication.

Table 2. 109 studies concluding to low climate sensitivity listed by year of publication. A number of them correspond to the list updated by P. Gosselin at *notrickszone.com/50-papers-low-sensitivity*. When a climate sensitivity per CO<sub>2</sub> doubling is indicated in the study, the value is reproduced in the Table. When indicated, radiative forcing is converted to climate sensitivity with Eq. (4). In their absence, key conclusion or keywords are briefly reproduced.

Daggal and Cahnaidan 1071	0.8°C		
Rasool and Schneider 1971			
Weare and Snell 1974	0.7°C		
Willett 1974	~ 0°		
Zdunkowski et al 1975	< 0.5°C		
Oliver 1976	negligible		
Bryson and Dittberner 1976	$\Delta T = 3.346 \ln(CO_2)$ , corresponding to 0.7°C (Eqs. 4 and 5)		
Dyson 1977	« great uncertainty"		
Newell and Dopplick 1979	< 0.25°C		
Robock 1979	"no significant effect"		
Choudhury and Kukla 1979	"cooling rather than warming effect of CO <sub>2</sub> "		
Idso 1980	< 0.26°C		
Ramanathan 1981	0.5°C		
Gates et al 1981	0.3°C		
Schuurmans 1983	0.2 to 0.4°C at present concentration		
Idso 1984	inverse greenhouse effect		
Balling 1994	<1°C		
Lindzen 1994	2 W/m <sup>2</sup> , hence 0.66°C		
Idso 1998	0.4°C		
Hug 2000	"Resonance collisions reduce effect" (below 1°C)		
Khilyuk and Chilingar 2003	< 0.01°C		
Jelbring 2003	~ 0°		
Cess and Udelhofen 2003	"effect temporally decreasing"		
Khilyuk and Chilingar 2006	0.01°C		
Barrett et al 2006	3.1 W/m <sup>2</sup> , hence 0.9°C		
Bellamy and Barrett 2007	<1°C		
Miskolczi 2007	0.24°C		
Chillingar et al 2009	negligible		
Florides and Christodoulides 2009	0.01-0.03°C		
Gerlich and Tscheuschner 2009	"atmospheric greenhouse conjecture falsified"		
Lindzen and Choi 2009	0.5°C		
Miskolczi 2010	negligible		
Soares 2010	negligible		
Clark 2010	Cannot cause climate change		
Wagoner et al 2010	"very small"		
Gerlich and Tscheuschner 2010	"non-existing influence"		
Lindzen and Choi 2011	0.7°C		
Nahle 2011	negligible		
Arrak 2011	Arctic warming: not greenhouse effect		
Fang <i>et al</i> 2011	"large uncertainties"		
Zhao 2011	"little evidence"		
Kramm and Dugli 2011	« meritless conjectures »		
Ollila 2013	0.51°C		
Clark 2013	negligible		
Singer 2013	$\sim 0^{\circ}$		
Avakyan 2013	"insignificant"		
Harde 2013	2.6 W/m², hence 0.78°C		
Laubereau and Iglev 2013	~ 1°C		
Lauvereau anu igiev 2013	~ 1 C		

Choi et al 2014	0.5–1.2°C		
Gervais 2014	2.2 W/m <sup>2</sup> , hence 0.66°C		
Ollila 2014	0.6°C		
Chilingar et al 2014	"no essential effect"		
Lightfoot and Mamer 2014	$2.8\%$ of water vapor warming $\sim 30^{\circ} \times 0.028 = 0.84^{\circ}$ C		
Miskolczi 2014	"effect impossible"		
Harde 2014	0.6°C		
Kauppinen et al 2014	"Less than 10 % of the temperature change"		
Reynen 2014	0.03°C		
Soon et al 2015	0.44°C		
Kimoto 2015	0.14-0.17°C		
Kissin 2015	0.6°C		
Schmithüsen et al 2015	"cooling effect"		
Monckton et al 2015	1°C		
Ollila 2016	1°C		
Smirnov 2016	0.4°C		
Bates 2016	~ 1°C		
Evans 2016	< 0.5°C		
Gervais 2016	<0.6°C		
Haine 2016	negligible		
Manheimer 2016	negligible		
Vares et al 2016	negligible		
Easterbrook 2016	negligible		
Allmendinger 2016	negligible		
Ellis and Palmer 2016	"play little or no part"		
Specht et al 2016	0.4°C		
Hertzberg and Schreuder 2016	"nothing supports"		
Song et al 2016	"no significant change of OLR"		
Harde 2017a	0.7°C		
Ollila 2017	0.6°C		
Abbot and Marohasy 2017	<0.6°C		
Scafetta et al 2017	<1°C		
Smirnov 2017	0.4°C		
Kramm et al 2017	negligible		
Lightfoot and Mamer 2017	negligible		
Robertson and Chilingar 2017	negligible		
Hertzberg et al 2017	"none of greenhouse description withstand scrutiny"		
Davis 2017	no effect		
Allmendinger 2017	negligible		
Holmes 2017	negligible		
Harde 2017b	0.7°C		
Nikolov and Zeller 2017 Wong and Minnett 2018	Solar irradiance and atmospheric pressure only negligible		
Smirnov 2018	0.4°C		
	negligible		
Lightfoot and Mamer 2018 Stallinga 2018	negligible 0.5°C		
Davis et al 2018	weak at most		
Allmendinger 2018	no effect		
Fleming 2018	"no role"		
Swift 2018	"increase of absorbed solar radiation by 3 W/m <sup>2</sup> "		
Kato et al 2018	"decrease of LW irradiance"		
Sejas et al 2018	negative CO <sub>2</sub> effect		
Ollila 2019	0.6°C		
Holmes 2019	negligible		
Krainov and Smirnov 2019	0.4°C		
Kim and Lee 2019	1 W/m <sup>2</sup> , hence 0.3°C		
Varotsos and Efstathiou 2019	negligible		
Kennedy and Hodzic 2019	negligible		
Fleming 2020	negligible		
Drotos et al 2020	negligible		

Stallinga 2020	< 0.5°C
Schildknecht 2020	0.5°C

Figure 1 plots the climate sensitivity reported in the studies listed in Table 2 versus the year of publication. They are compared with the range of TCR, 1–2.5 °C, of IPCC AR5 (full lines) and with the range of equilibrium climate sensitivity, 1.5–4.5 °C (dotted lines).

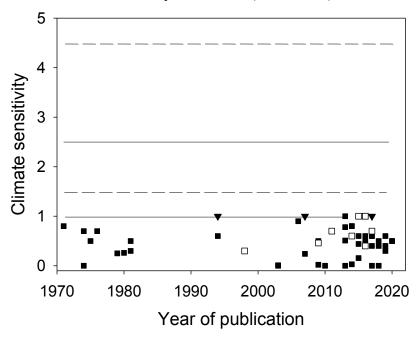


Figure 1. A plot of the data of Table 2 versus year of publication. White symbols correspond to the studies cited in the review of Knutti et al (2017) in which conversely studies corresponding to black symbols are ignored. Triangles correspond to the upper limit of the conclusions of the study. The full horizontal lines correspond to the limits of TCR in IPCC AR5 (2013) while the dotted lines correspond to the limits of ECS.

Figure 2 plots the number of studies of Table 2 published each year.

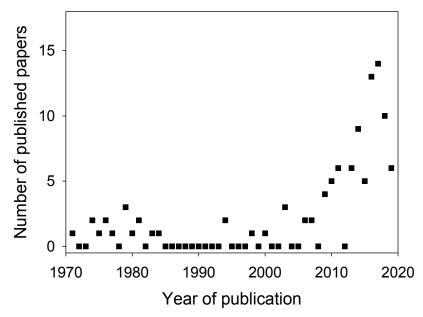


Figure 2. Acceleration since the beginning of this century, of the number of studies focusing on low climate sensitivity (equal or lower than 1 °C) as shown in Table 2 and Figure 1.

A tendency at acceleration emerges since the beginning of this century. The 108 studies of Table 2 may be compared to those reviewed by Knutti *et al* (2017) where 47 TCR or intervals of TCR are cited. Among them, only one study reports 1°C and only another one reports less than 1°C (Ollila 2014). 78 ECS or intervals of ECS are also reviewed. Among them, only 7 studies report 1°C or below (Idso 1998, Lindzen and Choi 2009, 2011, Monckton *et al* 2015, Bates 2016, Specht *et al* 2016, Harde 2017).

Figure 3 updates Figure 1 of Gervais (2016). It adds to the results plotted in Figure 1 the climate sensitivity estimated from instrumental records of surface temperature and ocean heat content as reported by Hausfather (2018), taken from the review of Knutti *et al* (2017), complemented by more recent results.

Figure 3 confirms that there is no consensus about the climate sensitivity. Each result appears disproved by a number of the others by as much as several degrees for some of them. A linear regression of results of Figure 3 indicates a "cooling" due to the tendency of decrease with year of publication of data deduced from instrumental records, a phenomenon which is amplified by the acceleration of results equal or below 1°C published recently as shown in Figures 1 and 2.

Conversely, no "cooling" is observed for ECS climate sensitivity of climate models, in particular CMIP5 and CMIP6, which remains essentially in the range from 1.5°C to 4.5°C without decrease of the uncertainty since the Charney report published in 1979. They are not shown in Figure 3 due to the deep uncertainty that persists to appear much too large.

Some CMIP6 models correspond to even larger climate sensitivity, with 5 of 34 models with TCR values above 2.5°C. Conversely, the lowest value of the range, 1.3°C, is the TCR reported by the INM-CM4-8 model (Volodin *et al* 2019). 12 of 34 models show an ECS value above 4.5°C (Nijsse *et al* 2020, McKitrick and Christy 2020). Figure TS.14(a) and Figure 1(a) of Box TS.3 of the IPCC AR5 (2013) show (i) that CMIP5 models do not agree between themselves while the IPCC AR5 (2013) does not make any choice between them, (ii) they run too "hot" to be validated by the observations from 1998 to 2014, a period that the AR5 designated as "hiatus".

Spencer (2021) has published an update with latest observations compared with CMIP6 models. Except INM-CM4-8, models persist to run hotter than observations.

The spread in estimated ECS has increased further in CMIP6 models. It reaches an uncertainty of 3.7 K as compared with 2.7 K in the previous CMIP5. McKitrick and Christy (2020) question pervasive warming bias in CMIP6 tropospheric layers. In addition, Zhu *et al* (2020, 2021) show that high climate sensitivity in CMIP6 models are not supported by paleoclimate. They find that the ECS is too large because of an incorrect treatment of clouds in the models. Wild (2020) shows that the inter-model spread amongst the magnitudes of the global energy balance components in the individual CMIP6 models is still unsatisfactorily large, typically of the order of 10–20 W/m². The inter-model spread in the simulated global mean surface latent heat flux reaches 18 W/m². These discrepancies have generally not decreased from the previous model generation CMIP5 to the latest model generation CMIP6, and the inter-model spreads and standard deviations remain similar.

Section 3 shows that in case of  $CO_2$  doubling, the lack of flux at the TOA found from infrared thermal emission spectra could reaches 2.6 W/m<sup>2</sup>. At the average rate of increase of  $CO_2$  of 22 ppm/decade as shown in Figure 6, its contribution is of the order of (22/414) x 2.6 = 0.14 Wm<sup>-2</sup>/decade. The inter-model spread, therefore, appears more than 100 times larger, illustrating how much they are hardly convincing in the representation of the global energy imbalance.

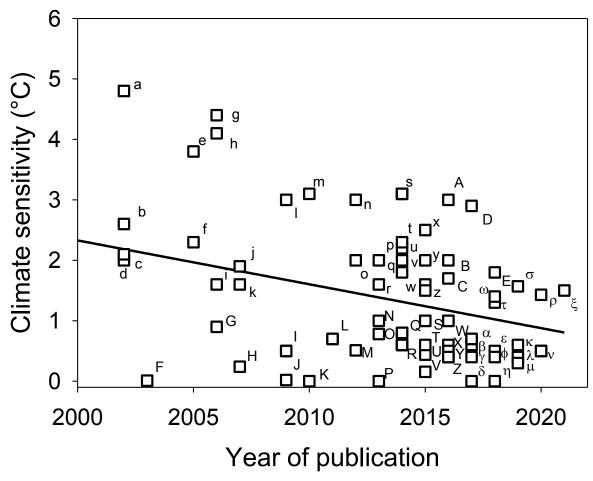


Figure 3. Climate sensitivity from instrumental records as listed by Hausfather (2018) taken from the review of Knutti et al (2017), complemented by more recent results, plotted together with data of Figure 1. ECS of CMIP5 and CMIP6 models that remains essentially in the range from 1.5 °C to 4.5 °C are not shown here due to the deep uncertainty that persists to appear much too large as discussed in the text. a: Knutti et al 2002; b: Kaufmann and Stern 2002; c: Gregory et al 2002; d: Harvey and Kaufmann 2002; e: Tsushima et al 2005; f: Frame et al 2005; g: Stern 2006; h: Forest et al 2006; i: Forster and Gregory 2006; j: Schwartz 2007; k: Chylek 2007; l: Murphy et al 2009; m: Lin et al 2010; n: Schwartz 2012; o: Aldrin et al 2012; p: Bengtsson and Schwartz 2013; q: Otto et al 2013; r: Lewis 2013; s: Urban et al 2014; Donohoe et al 2014; Lovejoy 2014; t: Kummer and Dessler 2014; u: Lewis 2014; v: Loehle 2014; w: Skeie et al 2014; x: Johansson et al 2015; y: Cawley et al 2015; z: Lewis and Curry 2015; Loehle 2015; A: Forster 2016; B: Loeb et al 2016; C: Lewis 2016; D: Armour 2017; E: Lewis and Curry 2018; F: Jelbring 2003; G: Barrett et al 2006; H: Miskolczi 2007; I: Lindzen and Choi 2009; J: Florides and Christodoulides 2009; K: Clark 2010; L: Lindzen and Choi 2011; M: Ollila 2013; N: Laubereau and Iglev 2013; O: Harde 2013; P: Singer 2013; Q: Lindzen 2014, Lightfoot and Mamer 2014; R: Gervais 2014; S: Monckton et al 2015; T: Kissin 2015; U: Soon et al 2015; V: Kimoto 2015; W: Bates 2016; X: Gervais 2016; Y: Evans 2016; Z: Smirnov 2016; α: Scafetta et al 2017; β: Abbot and Marohasy 2017, Ollila 2017; γ: Smirnov 2017; δ: Holmes 2017; ε: Stallinga 2018; φ: Smirnov 2018; η: Fleming 2018; κ: Ollila 2019; λ: Krainov and Smirnov 2019; μ: Kim and Lee 2019; v: Stallinga 2020, Schildknecht 2020; ρ: Myrvoll-Nielsen et al 2020; σ: Haustein et al 2019; τ: Booth 2018; ω: Skeie et al 2018; ξ: Scafetta 2021a.

# 3 Infrared thermal flux towards space and climate sensitivity

Depending on the electromagnetic flux I<sub>s</sub> received from the sun, the Boltzmann equation allows the evaluation of the temperature of the Earth via

$$(1-a)I_s/4 = \varepsilon\sigma T^4 \tag{3}$$

a is the Earth albedo,  $\varepsilon$  is the Earth emissivity and  $\sigma$  the Boltzmann constant. The derivation of this equation reads

$$\Delta F/F = 4 \ \Delta T/T \tag{4}$$

 $F = 240 \ W/m^2$  is the average thermal flux received from the sun and reemitted by the Earth towards space, averaged over day and night, latitude and seasons. To deduce the climate sensitivity  $\Delta T$  to  $CO_2$  doubling, a direct evaluation of  $\Delta F$  can be deduced from the evolution of the infrared spectrum of the main  $CO_2$  band that peaks near the maximum of the Planck thermal emission of the Earth, in case of doubling of its concentration, as shown in Figure 4.

The superposition of both curves – one for the  $CO_2$  concentration observed at the observatory of Mauna Loa in 2005, the other in case of hypothetical doubling – in the immediate vicinity of the bending vibration mode of  $CO_2$  of wavenumber 670 cm<sup>-1</sup> (corresponding to a wavelength of 15 micrometers) illustrates the almost saturation of its emission towards space.

Rasool and Schneider (1971) already mentioned the almost saturation: « as more  $CO_2$  is added to the atmosphere, the rate of temperature increase is proportionally less and less, and the increase eventually levels off. The runaway greenhouse effect does not occur because the 15  $\mu$ m  $CO_2$  band which is the main source of absorption *saturates*, and the addition of more  $CO_2$  does not substantially increase the infrared opacity of the atmosphere.» The almost saturation is confirmed by Schildknecht (2020).

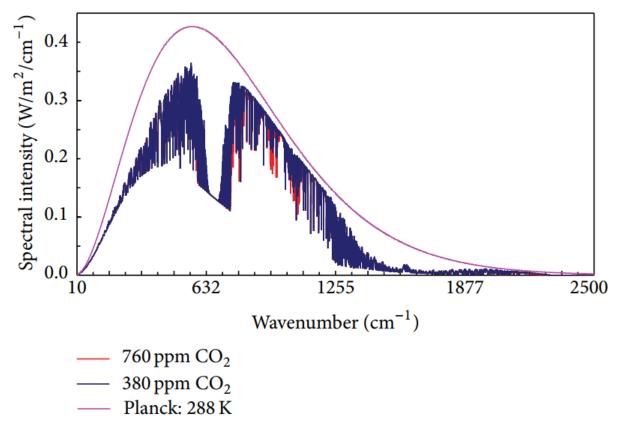


Figure 4. An illustration of the little change of atmospheric  $CO_2$  emission towards space, here at an altitude of 12.5 km, in case of doubling of its concentration, reproduced from the open access paper of Harde (2013).

Figure 4 shows that at high CO<sub>2</sub> concentrations, adding more CO<sub>2</sub> does little due to the logarithmic law as shown by Myhre et al (1998). In addition, the CO<sub>2</sub> infrared linewidth is broadened by atmospheric pressure in the low troposphere. Conversely, the infrared absorption peaks become sharper with decreasing pressure, what happens with increasing altitude. As a result, there is no Earth radiation left for the wings of narrower lines at the top of the atmosphere where the pressure is lower, because the broader absorptions below mask it.

Harde (2014) evaluates a climate sensitivity of  $0.6^{\circ} \pm 0.1^{\circ}$ C. Such a tiny anthropogenic warming is consistent with the 108 other studies of Table 2. Besides, Figure 8.1b of Salby (2012) shows that the absorptivity of the infrared  $CO_2$  band at 15  $\mu$ m measured between the tropopause around 11 km and the top of the atmosphere is near 100 %. Above 11 km, the temperature does no longer decrease with altitude. As a result, the emission is no longer weakened – according to the key point of the definition of greenhouse effect in the glossary of the IPCC AR5 (2013) – with increasing concentration of  $CO_2$ . It could be weakened but only below the tropopause where the temperature decreases with altitude following to the atmospheric lapse rate.

Taking account of the shielding by cloudiness not shown in Figure 4, Harde (2013) evaluates that the difference of both spectra results in  $\Delta F = 2.6 \ W/m^2$ . This is the flux that might be lacking in the energy balance at the top of the atmosphere (TOA) in case of CO<sub>2</sub> doubling, viz. a lack of 2.6/240 = 1.1 %. Other line by line radiative transfer model calculations confirm with a similar difference of 2.9  $W/m^2$  near the TOA in case of CO<sub>2</sub> doubling (Sherwood *et al* 2020). Ollila (2017a) reports  $\Delta F = 2.2 \ W/m^2$ . With the intermediate value of 2.6  $W/m^2$  deduced from infrared spectra in Figure 4, the anthropogenic contribution to the Earth warming then would be

$$\Delta T_{CO2 \times 2} = T/4 \times \Delta F/F = 288/4 \times 2.6/240 = 0.78 \text{ °C}$$
 (5)

consistent with values lower than  $1^{\circ}$ C in Table 2 and in Figures 1 to 3. Rewritten in terms of concentration C of  $CO_2$  in the Earth atmosphere, Eq. (5) becomes

$$\Delta T = 288/4 \times 2.6 \ln(C/C_0)/240 \ln(2) = 1.1^{\circ}C \ln(C/C_0)$$
 (6)

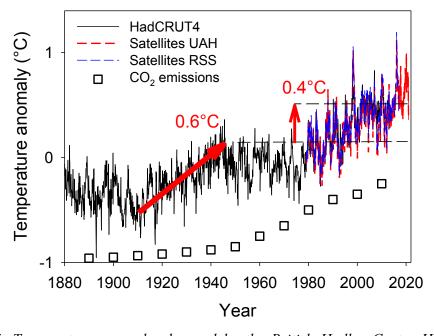


Figure 5. Temperature anomaly observed by the British Hadley Center HadCRUT4 (2021), satellites UAH TLT (2021) and RSS (2021), compared to the relative increase of  $CO_2$  in the atmosphere.

Applied to the increase of  $CO_2$  in the atmosphere since the beginning of acceleration of emissions in 1945, Eq. (6) provides  $1.1^{\circ}C \ln(414 \text{ ppm/3}10 \text{ ppm}) = 0.3^{\circ}C$ . Since 1945, HadCRUT4 data show a warming of about 0.4 °C if fluctuations like El Niño peaks that are natural phenomena related to the intensity of the dominant winds in the Pacific Ocean, are set aside to focus on the baseline as shown in Figure 5. The UAH satellite data indeed show that while a warming trend of 0.12 °C per decade is observed from 2000 to 2020, the trend is limited to only 0.01°C per decade from 2000 to 2015 before the onset of the strong El Niño peak of 2016 and replica afterwards.

Data are monthly. A warming of about 0.6 °C has been observed from 1910 to 1945 when CO<sub>2</sub> emissions were too low to explain it (Ring *et al* 2012), illustrating a contribution of the natural variability of climate. Since 1945, an anthropogenic contribution of 0.3 °C evaluated above matches the observation of 0.4 °C, validating a climate sensitivity lower than 1 °C, whereas higher values are not validated by observations in Figure 5.

With a climate sensitivity of 0.78 °C, Eq. 1 becomes

$$(1/7.3 \ 10^{12} \ tCO_2) \ x \ 0.78^{\circ}C = 1.06 \ 10^{-13}^{\circ}C/tCO_2$$
 (7)

This equation is applied in both right columns of Table 1. In terms of carbon footprint, the result reads  $3.9 \, 10^{-13} \, ^{\circ} \, \text{C/tC}$ .

A climate sensitivity higher than 1°C assumes positive feedbacks that might increase the climate sensitivity  $\Delta T_{CO2\,x\,2}$  in the form

$$\Delta T_f = \Delta T_{\text{CO2 x 2}} / (1 - f) \tag{8}$$

if f is positive and lower than 1. The main supposed positive feedback is water vapor, considered to increase the  $CO_2$  greenhouse effect in a warming world. A large fraction of emissions of infrared output longwave radiation (OLR) to space from the troposphere indeed is from water vapor. The radiation occurs at an average altitude of  $\sim$  5 km that corresponds to the temperature of 255 K (– 18 °C) assuming an emissivity of 1, as given by Eq. (3). The difference of 33 K with the average surface Earth's temperature of 288 K is the warming attributed to greenhouse gases. This is essentially the greenhouse effect of the main one, water vapor (Ollila 2017a). Above the tropopause where the air is dryer, a fraction of OLR emissions is from  $CO_2$  (Figure 4). Van Brunt (2020) has shown that changes in the concentration of water vapor and changes in water vapor heating are not a feedback response to changes in the concentration of  $CO_2$ .

Positive feedbacks due to water vapor were supposed to generate « hot spots », but none is found in the high troposphere in subtropical regions (Douglass *et al* 2004, 2008, Christy *et al* 2010, Fu *et al* 2011). Even more intricate in the context of such a hypothesis, at the altitude around 9 km where the hot spots are expected and where CO<sub>2</sub> emits heat towards colder space (Figure 4), the specific humidity that was supposed to increase actually has decreased. The decrease is from 0.28 g/kg in 1948 down to 0.25 g/kg these 15 last years as measured by NOAA (Humlum 2021). The supposed positive feedback of water vapor, therefore, is unsupported by observations and, therefore, not demonstrated.

Clouds may cool or warm the planet. If precipitating convective clouds cluster in larger clouds as temperature rise, negative feedbacks are expected (Mauritsen and Stevens 2015). Lindzen and Choi (2009, 2011) considered a negative feedback, the "iris" effect, which decreases the climate sensitivity down to 0.5–0.7 °C. Paltridge *et al* (2009), Spencer and Braswell (2010) also focus on negative feedbacks. Low-level clouds may be thick enough to reflect a part of the sun's radiation and increase the albedo (Loeb *et al* 2018, Delgado-Bonal *et al* 2020, Ollila 2020, Sfica *et al* 2021). More generally, cloud tuning (Golaz *et al* 2013) to achieve the desired radiation balance is a complementary cause of the scatter of climate sensitivity.

When Earth was cooling from 1945 to 1975 in spite of the acceleration of CO<sub>2</sub> emissions (Figure 5), Rasool and Schneider (1971) predicted even more cooling by introducing a strong concentration of aerosols known to have a cooling effect as confirmed by the momentary Earth's cooling of 0.5 °C

in 1992 after the eruption of the Mount Pinatubo volcano. The cooling offsets a warming related to a weak climate sensitivity of 0.8 °C (Rasool and Schneider 1971). Wang *et al* (2021) confirms this concept by reporting that highest ECS climate sensitivity in CMIP6 models are offset by highest cooling by aerosol-cloud interaction.

However, over the 20<sup>th</sup> century, changes in anthropogenic aerosols were mostly concentrated in the Northern Hemisphere. Consequently, models with strong or weak aerosol-cloud interactions produce different warming asymmetry over the historical period.

The observed warming asymmetry is more consistent with the models that have weak aerosol cloud interactions and, therefore, less positive cloud feedback. This asymmetry appears not considered in recent studies based on CMIP6 models (Gillett *et al* 2021).

Besides, Scafetta (2021b) reports that Urban Heat Island effects raise city temperatures above the temperatures in surrounding rural areas. These significant biases alter instrumental records. Sea surface temperatures and land temperatures showed matching variations and amplitudes from 1900 to 1980. After 1980, the land surface temperatures rose substantially more, suggesting nearly half of the land temperature increase is non-climatic. Both asymmetry of warming and urban heat island effects tend to disprove the highest climate sensitivity of CMIP6 models.

The low stratosphere (altitude of  $\sim$ 17 km) displays a long plateau of temperature since 1994 as shown in Figure 6.

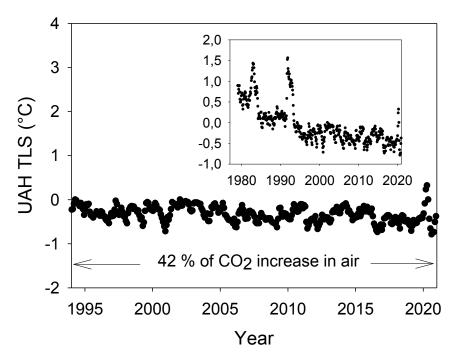


Figure 6. Plateau of anomaly of temperature in the low stratosphere (TLS) measured by satellite in the low stratosphere as reported by the Earth System Science Center, University of Alabama in Huntsville (UAH) (Spencer et al 2017, here updated), at the altitude of  $\sim$  17 km from 1994 to 2020. A flatness emerges in a period corresponding to not less than  $\sim$  42 % of all the increase of  $CO_2$  in the atmosphere since the beginning of the industrial era. The inset shows all available data. Both peaks in the inset corresponds to aerosols emitted by volcanic eruptions. The smaller peak in 2020 could be due to the Tall volcano eruption.

## 4 Atmospheric CO<sub>2</sub> yearly increases mirror but lag surface temperature fluctuations

Figure 7 is an update of Figure 4 of Gervais (2014).

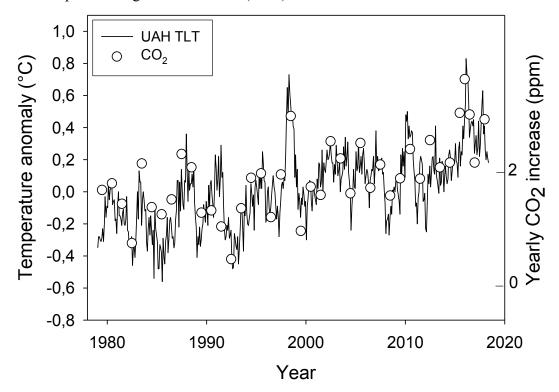
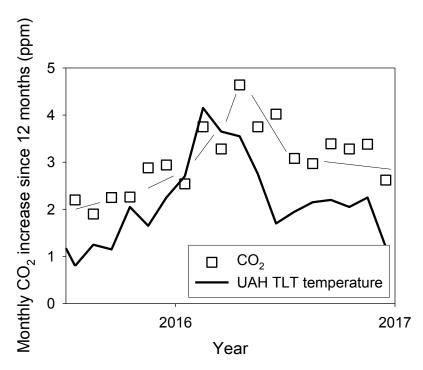


Figure 7. UAH temperature in the low troposphere (TLT), i.e. surface satellite measurements (Spencer et al 2017, updated) compared with yearly increases of  $CO_2$  measured at Mauna Loa (NOAA 2020) shifted left by 6 months, showing the fit. The shift focus on a lag of  $CO_2$  increases with respect to temperature fluctuations. The lowest  $CO_2$  increase follows the cold year 1992 and the highest follow the hot El Niño years 1998 and 2016.

The yearly CO<sub>2</sub> increase in the atmosphere measured at the observatory of Mauna Loa is confirmed to be far from being a constant. The year 1992 was a cold year due to the aerosols emitted by the eruption of the Pinatubo volcano (see inset of Figure 6) in spite of the CO<sub>2</sub> emissions of the volcano itself and in spite of a warm El Niño which peaked at an excess of 2°C in the NINO3.4 Pacific region. The yearly increase of CO<sub>2</sub> in 1992 was 0.47 ppm only. The CO<sub>2</sub> increase since 12 months peaked at 4.6 ppm in the warm year 2016 related to a strong El Niño fluctuation as shown in Figure 8.

The increase of amplitude from 0.47 to 4.6 ppm is too large for mirroring changes in  $CO_2$  anthropogenic emissions. These fluctuations show an amplitude larger than that related to the drop of  $CO_2$  emissions related to the industrial slowdown and the lockdown due to the Covid-19 pandemic (NOAA 2020). The fluctuations of  $CO_2$  correlated to temperature, therefore, appear mainly related to natural effects.

Kuo *et al* (1990) discussed the correlation temperature/CO<sub>2</sub>. The changes in carbon dioxide content were reported to *lag* the temperature fluctuations by 5 months. The solubility of CO<sub>2</sub> in water increases with decreasing temperature. The correlation of Figure 7 may be interpreted, at least partly, by outgassing of CO<sub>2</sub> from the oceans that contains 60 times more CO<sub>2</sub> than the atmosphere (IPCC AR5 2013), during warmer years especially under the tropics (Park 2009, Quirk 2009, Beenstock *et al* 2012, Salby 2012, Humlum *et al* 2013, Gervais 2014, Harde 2017a, 2019, Berry 2019, Stallinga 2020).



*Figure 8. Lag of monthly CO<sub>2</sub> increase with respect to UAH TLT temperature.* 

Humlum *et al* (2013) concluded: « changes in ocean temperatures appear to explain a substantial part of the observed changes in atmospheric  $CO_2$  since January 1980.  $CO_2$  released from anthropogenic sources apparently have little influence on the observed changes in atmospheric  $CO_2$ . »

It is fair to concede that a convincing anthropogenic carbon budget does not seem to be settled. Many different models of carbon budget have been published (Friedlingstein *et al* 2006). Contemporary land uptakes show differences as large as 4 GtC per year, viz. nearly half the anthropogenic emissions, from a model to another. The difference is even larger in the projection to 2100 since it reaches 17 GtC per year, a level higher than contemporary emissions.

El Niño Southern Oscillation ENSO contributes to global temperature (Zeng *et al* 2005). However, (i) the lag of several months of CO<sub>2</sub> fluctuations that follows temperature fluctuations in general and (ii) the low increase of 1992 in spite of an El Niño fluctuation that year, contradict the hypothesis that ENSO would be the *driver* of the temperature-dependent fraction of the fluctuations of CO<sub>2</sub> addition in the atmosphere. The role of driver appears rather played by the temperature of oceans. It might appear counterintuitive that oceans that capture 23 % of anthropogenic CO<sub>2</sub> emissions might release it during warmest years. However (i) upwelling of 275 GtC.yr<sup>-1</sup> (corresponding to 130 ppm.yr<sup>-1</sup>), larger than downwelling of 264 GtC.yr<sup>-1</sup> (corresponding to 125 ppm.yr<sup>-1</sup>) reported by Levy *et al* (2013), permits within uncertainties a possibility of CO<sub>2</sub> release from oceans during warmest years. (ii) CO<sub>2</sub> may precipitate in the solid form of CaCO<sub>3</sub> because oceans contain calcium. (iii) Oceans appear as a biological carbon pump more efficient than previously considered (Buesseler *et al* 2020).

Lands and vegetation capture 1/3 of CO<sub>2</sub> emissions. To evaluate it, one method is linked to the amplitude of the seasonal drop of CO<sub>2</sub> concentration in the atmosphere in spring and summer due to enhanced uptake of carbon by photosynthesis also favored by longer days, in the northern hemisphere that shows a larger surface of vegetation than the southern hemisphere. The amplitude is nearly zero in Antarctica for lack of surrounding vegetation. Conversely, the amplitude of the drop has been found to increase 71 % more rapidly than the CO<sub>2</sub> concentration at La Jolla (California) between 1969 and 2013 (Gervais 2016). Does the amplitude of CO<sub>2</sub> fluctuations of

Figure 7 manifest themselves by fluctuations of seasonal amplitudes related to temperature? The cold year 1992 together with the warm year 1998 are compared in Figure 9.

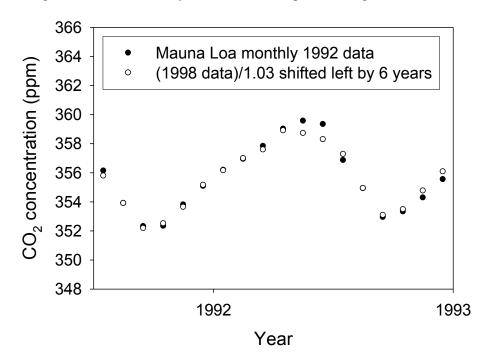


Figure 9. Seasonal oscillation of  $CO_2$  concentration measured at the Observatory of Mauna Loa (NOAA 2020) from 1991 to 1993. It is compared with the oscillation from 1997 to 1999 shifted left by 6 years. Data of the latest have been divided by 1.03, the ratio of  $CO_2$  concentration in autumn 1997 and autumn 1991 to start both curves with a same origin for accurate comparison.

Both seasonal oscillations of Figure 9 appear essentially superposed. This near superposition hardly supports Figure TS.4 of IPCC AR5 (2013) where it is seen that land sink would have been unable to absorb any anthropogenic emission in 1998, whereas land sink would have absorbed 4 GtC in 1992.

The yearly fraction of anthropogenic  $CO_2$  added to the atmosphere may be estimated from the ratio  $^{13}C/^{12}C$  (Segalstad 1998). The result is consistent with the low level of increase of  $CO_2$  in 1992. This is confirmed by Harde (2017a, 2019) and Berry (2019).

Koutsoyiannis and Zbigniew (2020) raises the question of the correlation of Figure 7 in terms of hen-or-egg causality. They conclude: "the results of our study support the hypothesis that the dominant direction is  $T\rightarrow CO_2$ . Changes in  $CO_2$  follow changes in T by about six months on a monthly scale."

The correlation of Figure 7 possibly might be transient. But if it persists at least on the short term and if, for natural reasons (combination of lower solar activity, aerosols emitted by volcanic eruption, strong La Niña fluctuation), the surface temperature would drop down to -0.6°C in the left vertical scale of Figure 7 corresponding to 0 ppm in the right scale, then the increase of CO<sub>2</sub> in the atmosphere would cease, independent of anthropogenic emissions. With a yearly CO<sub>2</sub> increase of only 0.47 ppm compared to the peak at 4.6 ppm in 2016 in Figure 8, this situation almost happened in 1992 for a single natural reason, viz. aerosols emitted by the Pinatubo volcano that partially and momentarily attenuated the solar flux.

### 5 Discussion

The airborne fraction is the ratio of the annual increase of atmospheric  $CO_2$  to the emissions from fossil sources. IPCC AR5 (2013) reports a value of  $0.44 \pm 0.06$  % for the airborne fraction. Surprisingly, the airborne fraction has not much changed during the past 50 years. At least, the change seems not exceeding the uncertainty. Since fossil fuels emissions have about tripled during half a century, this means that the carbon sinks, lands and oceans, became about triply more efficient. In particular, the yearly growth of atmospheric  $CO_2$  half a century ago was about only 1/3 of what it is nowadays. Harde (2017a) confirms that the uptake of  $CO_2$  by natural sinks scales proportional with its atmospheric concentration.

It is instructive to compare 1/3 of 9.9 GtC emitted in 2019 with 450 GtC, the total vegetal biomass (Bar-On *et al* 2018). 3.3/450 = 0.73 %. During the 33 years of the Earth's greening observed by satellites (Zhu *et al* 2016), the enrichment of the vegetal biomass has been, therefore, of the order of 33 years x 0.73 % = 24 %. The global warming shown in Figure 5 seems to have not prevented this estimated increase. It is beyond the scope of this study to discuss whether it has favored it. Nevertheless, the increase of biomass could reach 174 GtC until the end of the century (Haverd *et al* 2020), viz. 1.74/450 = 39 %.

There are some parallel arguments. Greening is observed in particular in arid areas (Metcalfe 2014), thanks to additional photosynthesis of increased CO<sub>2</sub> levels. Additional carbon dioxide causes plants to produce less water loss due to evaporation, less hydric stress, lower sensitivity to pollution, and more resistance to heat and cold. The rising carbon dioxide concentration in the atmosphere is a primary cause of observed recent greening of the Earth. Newly grown rainforests can absorb eleven times as much carbon from the atmosphere as old-growth forests (Poorter *et al* 2016), confirming by direct measurements enhanced carbon land uptake in tropical latitudes of Latin America. This is also true for the increased efficiency of the biological carbon pump of the oceans (Buesseler *et al* 2020). Note that the anthropogenic contribution to the pH of the oceans remains small, –0.0017 per year (Byrne *et al* 2010).

Summarizing, there are benefits of CO<sub>2</sub> emissions for the fertilization of oceans, lands, forests, grasslands and nutritive plants (Donohue *et al* 2013, Idso 2013, Kaptué *et al* 2015, Rivero-Calle *et al* 2015, Lu *et al* 2016, Cheng *et al*, 2017, Gao *et al* 2019, Winkler *et al* 2019, Bastin *et al* 2020, Sswat *et al* 2018, Clark *et al* 2020). By contrast, mitigation policies of CO<sub>2</sub> emissions will have little effect on Earth's temperature as shown country by country in Table 1 even in terms of policies of largest emitters, especially with a TCR climate sensitivity equal or lower than 1°C, constrained by atmospheric CO<sub>2</sub> infrared spectrum. Values lower than 1°C are consistent with the near saturation observed in Figure 4, the plateau of TLS temperature in Figure 6 and the studies listed in Table 2. The natural variability of climate should be better taken into account (Scafetta *et al* 2020).

Frederikse *et al* (2020) report an average trend of  $1.52 \pm 0.33$  mm per year for the sea level rise from 1900 to 2018. Such a rise do not show anything catastrophic. By considering 2,133 tide gauges, Parker and Ollier (2015) report an even lower average rise of 1.04 mm per year. By scrutinizing advection and subduction phenomena, Mörner (2016) confirms low sea level rise. In addition, Donchyts *et al* (2016) and Luijendijk *et al* (2018) report an average increase of continental surface with respect to sea surface and an average increase of the area of beaches in spite of erosion of several shores.

The highest biomass and biodiversity is present in tropical rainforests, and the least in cold polar regions (Brown 2013, Kraft *et al* 2011). Thus, higher temperatures than currently existing on Earth seem to be more favorable. Schulze-Makuch *et al* (2020) suggest "a slightly higher temperature, perhaps by 5 °C, similar to that of the early Carboniferous time period, would provide more habitable conditions until some optimum is reached". This recommendation questions the COP21 Paris agreement that pretends to limit the warming to 2 °C or even to 1.5 °C with respect to the preindustrial period. This means an increase of only 1 °C or 0.5 °C with respect to the beginning of

this century since a warming of  $\sim 1$  °C already occurred (Figure 2.5). Actually, it will be a benefit for the vegetal biomass as suggested by Schulze-Makuch *et al* (2020).

According to Kramm *et al* (2020), the average temperature of the Earth is 14.5 °C. Lindzen and Christy (2020) consider the average temperature as misleading because it is at any place on Earth almost as likely, at any given time, to be warmer or cooler than average. The temperature anomaly is much smaller than the temperature variations that all life on Earth regularly experiences, reason for which it appears questionable. As long as an additional average warning would not exceed 1.1 °C, it could remain beneficial to mankind in terms of global wealth (Tol 2009). In view of Table 1 and Eq. 6, an anthropogenic warning of 1.1 °C would hardly be reached until the end of this century at the present rate of CO<sub>2</sub> increase of 0.5 % per year even by retaining the CMIP6 TCR of 1.68 °C. The minor warming, therefore, remains beneficial to humanity in terms of global wealth (Tol 2009) and to vegetation (Schulze-Makuch *et al* 2020).

The origin of atmospheric CO<sub>2</sub>, natural or anthropogenic, has no impact on the climate sensitivity. Conversely, the balance between natural and anthropogenic fractions as well as anthropogenic or natural origin of Earth's climate change, might have a decisive impact on policies of reduction of emissions if the anthropogenic fraction would appear minor. Since these policies have no impact on the natural fraction, massive expenditures might be useless or at least might have little efficiency.

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